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| FORM PTO-1449<br>U.S DEPARTMENT OF COMMERCE<br>PATENT AND TRADEMARK OFFICE         |  | ATTY. DOCKET NO.<br>2003DE112 | SERIAL NO.<br>10/840,163 |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT<br>(37 CFR 1.98(b))                  |  |                               |                          |
| OCT 15 2004 JC37<br>U.S. PATENT & TRADEMARK OFFICE<br>APPLICANT: Sebastian Hoerold |  |                               |                          |
| FILING DATE: 5/6/2004 GROUP: 1714  |  |                               |                          |

## U.S. PATENT DOCUMENTS

| Examiner Initial |    | Patent Number | Issue Dte | Patentee              | Class | Subclass | Filing Date If Appropriate |
|------------------|----|---------------|-----------|-----------------------|-------|----------|----------------------------|
| PS               | AA | 6,255,371     | 7/3/01    | Schlosser et al.      |       |          |                            |
|                  | AB | 6,750,282     | 6/15/04   | Schall et al.         |       |          |                            |
|                  | AC | 6,509,401     | 1/21/03   | Jenewein et al.       |       |          |                            |
|                  | AD | 6,207,736     | 3/27/01   | Nass et al.           |       |          |                            |
|                  | AE | 4,036,811     | 7/19/77   | Noetzel et al.        |       |          |                            |
|                  | AF | 3,900,444     | 8/19/75   | Kleiner et al.        |       |          |                            |
|                  | AG | 5,780,534     | 7/14/98   | Kleiner et al.        |       |          |                            |
|                  | AH | 6,013,707     | 1/11/00   | Kleiner et al.        |       |          |                            |
| ▼                | AI | 4,546,126     | 10/8/85   | Breitenfellner et al. |       |          |                            |
| PS               | AJ | 6,365,071     | 4/2/02    | Jenewein et al.       |       |          |                            |
|                  | AK |               |           |                       |       |          |                            |

## FOREIGN PATENT OR PUBLISHED FOREIGN APPLICATION

|    |    | DOCUMENT NUMBER | PUB. DATE | COUNTRY |  |  | TRANSLATION       |
|----|----|-----------------|-----------|---------|--|--|-------------------|
| PS | BA | DE 19933901     | 2/1/01    | Germany |  |  | See AA above      |
|    | BB | DE 19921472     | 11/16/00  | Germany |  |  | See AB above      |
|    | BC | DE 19920276     | 11/9/00   | Germany |  |  | English Abstract  |
|    | BD | DE 19737727     | 7/8/99    | Germany |  |  | See AC above      |
|    | BE | DE 19734437     | 2/11/99   | Germany |  |  | See AD above      |
|    | BF | DE 19614424     | 10/16/97  | Germany |  |  | See AJ above      |
|    | BG | DE 2447727      | 4/8/76    | Germany |  |  | See AE above      |
|    | BH | DE 2252258      | 5/9/74    | Germany |  |  | See AF above      |
|    | BI | EP 0699708      | 3/6/96    | EPO     |  |  | See AG & AH above |
| ▼  | BJ | EP 0132228      | 1/23/85   | EPO     |  |  | See AI above      |
|    | BK | WO 99/29767     | 6/17/99   | WIPO    |  |  | English           |
| PS | BL | WO 97/39053     | 10/23/97  | WIPO    |  |  | See AJ Above      |
|    | BM |                 |           |         |  |  |                   |

EXAMINER /Peter Szekely/ DATE CONSIDERED 09/19/2006

EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

**OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication\*\*)**



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| <b>APPLICANT:</b> Sebastian Hoerold<br><b>FILING DATE:</b> 5/6/2004 <b>GROUP:</b> 1714   |  |                               |                          |

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|------------------|----|---------------|-----------|----------|-------|----------|----------------------------|
|                  | AA |               |           |          |       |          |                            |
|                  | AB |               |           |          |       |          |                            |
|                  | AC |               |           |          |       |          |                            |
|                  | AD |               |           |          |       |          |                            |

## FOREIGN PATENT OR PUBLISHED FOREIGN APPLICATION

|    |    | DOCUMENT NUMBER | PUB. DATE | COUNTRY |  |  | TRANSLATION      |
|----|----|-----------------|-----------|---------|--|--|------------------|
| PS | BA | WO 00/68312     | 11/6/00   | WIPO    |  |  | See IDS          |
| PS | BB | WO 02/28953     | 4/11/02   | WIPO    |  |  | English          |
| PS | BC | WO 99/67326     | 12/29/99  | WIPO    |  |  | English Abstract |
|    | BD |                 |           |         |  |  |                  |
|    | BE |                 |           |         |  |  |                  |

OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication\*\*)

|   |    |  |  |  |  |  |  |
|---|----|--|--|--|--|--|--|
|   | CA |  | EPO Search Report for EP 04010429, 11/17/2004. |  |  |  |  |
|   |    |  |  |  |  |  |  |
| EXAMINER /Peter Szekely/  |    |  | DATE CONSIDERED 09/19/2006                     |  |  |  |  |
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PTO/SB/08A (08-03)

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**INFORMATION DISCLOSURE  
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Sheet

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of

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**Complete if Known**

|                      |                   |
|----------------------|-------------------|
| Application Number   | 10/840,163        |
| Filing Date          | May 6, 2004       |
| First Named Inventor | Sebastien Hoerold |
| Art Unit             | 1714              |
| Examiner Name        |                   |

|       |   |    |   |                        |           |
|-------|---|----|---|------------------------|-----------|
| Sheet | 1 | of | 1 | Attorney Docket Number | 2003DE112 |
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| Examiner Initials* | Cite No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article, (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>2</sup>           |
|--------------------|-----------------------|--|--------------------------|
|                    | CA                    | U.S. Patent Application Serial No. 11/200,800 by Hoerold et al., filed 5/10/2005.  | <input type="checkbox"/> |
|                    | CB                    |  | <input type="checkbox"/> |
|                    | CC                    |  | <input type="checkbox"/> |
|                    | CD                    |  | <input type="checkbox"/> |
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PTO/SB/08A (08-05)

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Sheet

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of

1

**Complete If Known**

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| First Named Inventor | Sebastian Hoerold |
| Art Unit             | 1714              |
| Examiner Name        |                   |

|       |   |    |   |                        |           |
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| Sheet | 1 | of | 1 | Attorney Docket Number | 2003DE112 |
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| Examiner Initials* | Cite No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article, (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>2</sup>           |
|                    | CA                    | -U.S. Patent Application Serial No. 11/299,868 by Hoerold et al., filed 8/16/2005-   | <input type="checkbox"/> |
|                    | CB                    |  | <input type="checkbox"/> |
|                    | CC                    |  | <input type="checkbox"/> |
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| Examiner Signature | /Peter Szekely/ | Date Considered | 09/19/2006 |
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| Substitute for Form 1449/PTO |   | Complete if Known    |                   |                        |           |
|                              |   | Application Number   | 10/840,163        |                        |           |
|                              |   | Filing Date          | May 6, 2004       |                        |           |
|                              |   | First Named Inventor | Sebastian Hoerold |                        |           |
|                              |   | Art Unit             | 1714              |                        |           |
|                              |   | Examiner Name        |                   |                        |           |
| Sheet                        | 1 | of                   | 1                 | Attorney Docket Number | 2003DE112 |

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|--------------------|------------|--|--------------------------|
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|                    | CG         |  | <input type="checkbox"/> |
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